SEC Form 4
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## FORM 4

ACCESS INDUSTRIES MANAGEMENT,

<u>LLC</u>

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL							
	OMB Number:	3235-0287					

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* <u>AI Day1 LLC</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Day One Biopharmaceuticals, Inc.</u> [ DAWN ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title below) Other (specify below)							
C/O ACCESS INDUSTRIES, INC.						3. Date of Earliest Transaction (Month/Day/Year) 01/18/2022													
40 WEST 57TH STREET, 28TH FLOOR				4. lf /	4. If Amendment, Date of Original Filed (Month/Day/Year)     6. Individual or Joint/Group Filing (Check Applic Line)									pplicable					
(Street) NEW YORK NY 10019												Form filed by One Reporting Person X Form filed by More than One Reporting Person							
(City) (State) (Zip)																			
1 Title of	Security (Ins			n-Deriva		Secu			cquir	ed, C	4. Securities			icially		ed	6.0	wnership	7. Nature
	Security (ins	u. 5j	Dat		Execution Date,		e,	Transaction Code (Instr. 8)		Disposed Of		nd 5) Secu Bene			Form: Direct (D) or Indirect (I)	of Indirect Beneficial Ownership			
					ľ				Code V		Amount	(A) or (D)	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(Inst		(Instr. 4)
Common	1 Stock		0	1/18/2022	2				Р		43,429	A	\$14.2616(1)		9,421,205		D <sup>(2)</sup>		
Common	Stock		0	1/19/2022	2				Р		58,818	Α	<b>\$14.699</b> <sup>(3)</sup>		9,480,023		D <sup>(2)</sup>		
Common	Stock			1/20/2022					Р		4,615	A	\$14.7		9,484,638		4,638 D <sup>(2)</sup>		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deer Executio if any (Month/I	on Date,	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) o Disp of (D (Inst and	vative urities uired or osed ) r. 3, 4	e (Mo s	oiratior	rercisable and 1 Date ay/Year)	Amo Secu Unde Deriv	te and unt of irities erlying vative irity (Inst d 4)	Der Sec (Ins	Price of ivative curity str. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	/ []	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Dat Exe	e ercisab	Expiratio le Date	n Title	Amour or Numbe of Shares	er					
	nd Address of 7 <u>1 LLC</u>	f Reporting Person*																	
	CESS IND	(First) JSTRIES, INC. REET, 28TH FL	(Mid JOOR	ldle)		_													
(Street) NEW Y	ORK	NY	100	)19		_													
(City)		(State)	(Zip)	)															
		f Reporting Person <sup>*</sup> es Holdings L																	
	CESS IND	(First) JSTRIES, INC. REET, 28TH FL	(Mid	ldle)															
(Street) NEW Y	ORK	NY	100	)19		_													
(City)		(State)	(Zip)	)															
1. Name a	nd Address of	Reporting Person*																	

(Last)	(First)	(Middle)								
C/O ACCESS INDUSTRIES, INC.										
40 WEST 57TH STREET, 28TH FLOOR										
(Street)										
. ,	NY	10019								
(City)	(State)	(Zip)								
1. Name and Address of	1. Name and Address of Reporting Person*									
<u>Blavatnik Len</u>										
(Last)	(First)	(Middle)								
C/O ACCESS INDUSTRIES, INC.										
40 WEST 57TH STREET, 28TH FL										
(Street)										
NEW YORK	NY	10019								
(City)	(State)	(Zip)								

## Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.05 to \$14.53, inclusive. The reporting person undertakes to provide to Day One Biopharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

2. The securities reported are held directly by AI Day 1 LLC and may be deemed to be beneficially owned by Access Industries Holdings LLC ("AIH"), Access Industries Management, LLC ("AIM") and Len Blavatnik because AIH indirectly controls all of the outstanding voting interests in AI Day 1 LLC, AIM controls AIH and Mr. Blavatnik controls AIM and holds a majority of the outstanding voting interests in AIH. AIH, AIH and Mr. Blavatnik each disclaims beneficial ownership of these securities, except to the extent of its or his pecuniary interest therein, and this form shall not be construed as an admission that any such reporting person is the beneficial owner of any of the securities reported on this form.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.16 to \$14.75, inclusive. The reporting person undertakes to provide to Day One Biopharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.60 to \$14.75, inclusive. The reporting person undertakes to provide to Day One Biopharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

**Remarks:** 

<u>/s/ Alejandro Moreno for AI</u> <u>Day 1 LLC</u>	<u>01/20/2022</u>
<u>/s/ Alejandro Moreno for</u> <u>Access Industries Holdings</u> <u>LLC</u>	<u>01/20/2022</u>
<u>/s/ Alejandro Moreno for</u> <u>Access Industries</u> <u>Management, LLC</u>	<u>01/20/2022</u>
<u>/s/ Alejandro Moreno as</u> <u>Attorney-in-Fact for Mr.</u> <u>Blavatnik</u>	<u>01/20/2022</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.